



This article is published in *Alzheimer's & Dementia* 13.3 (2017): 247-256

(<http://dx.doi.org/10.1016/j.jalz.2016.06.2363>).

This self-archived Author's Manuscript is available under the Creative Commons BY-NC-ND 3.0 License.

You are free to:

Share — copy and redistribute the material in any medium or format.

Under the following terms:

Attribution — You must give appropriate credit, provide a link to the license, and indicate if changes were made. You may do so in any reasonable manner, but not in any way that suggests the licensor endorses you or your use.

Non-commercial — You may not use the material for commercial purposes.

No derivatives — If you remix, transform, or build upon the material, you may not distribute the modified material.

No additional restrictions — You may not apply legal terms or technological measures that legally restrict others from doing anything the license permits.

The licensor cannot revoke these freedoms as long as you follow the license terms.

For more information, see <https://creativecommons.org/licenses/by-nc-nd/3.0/>

Mixed brain lesions mediate the association between cardiovascular risk burden and cognitive decline in old age: a population-based study

Rui Wang, PhD^{1*}, Laura Fratiglioni, MD, PhD^{1,2}, Grégoria Kalpouzos, PhD¹, Martin Lövdén, PhD¹, Erika J. Laukka, PhD¹, Lena Bronge, MD, PhD³, Lars-Olof Wahlund, MD, PhD⁴, Lars Bäckman, PhD^{1,2}, Chengxuan Qiu, PhD^{1*}

1. Aging Research Center, Department of Neurobiology, Care Sciences and Society (NVS), Karolinska Institutet-Stockholm University; 2. Stockholm Gerontology Research Center; 3. Division of Medical Imaging and Technology, Department of Clinical Science, Intervention and Technology, Karolinska Institutet; 4. Division of Clinical Geriatrics, Department of NVS, Karolinska University Hospital at Huddinge, Stockholm, Sweden

***Corresponding author:** Chengxuan Qiu, Aging Research Center, Karolinska Institutet, Gävlegatan 16, S-11330 Stockholm, Sweden. *E-mail:* chengxuan.qiu@ki.se. *Phone:* +4686905816; *Fax:* +4686905954 or Rui Wang, *E-mail:* rui.wang@ki.se.

Word count: Abstract-150 words; research in context-150 words; length-3500 words; 38 references.

Conflict of interest: None declared.

1 **ABSTRACT**

2 **INTRODUCTION** The underlying pathological mechanisms linking cardiovascular burden
3 to cognitive decline remain unclear.

4 **METHODS** We investigated the associations of the Framingham General Cardiovascular
5 Risk Score (FGCRS), *APOE-ε4*, and brain structure with the Mini-Mental State Examination
6 (MMSE) decline using the 9-year follow-up data from SNAC-K (n=2189, age≥60) and the
7 embedded MRI (n=448) studies. Volumes of white-matter hyperintensities (WMHs), total
8 grey matter, ventricles, and hippocampus were assessed in the MRI sample.

9 **RESULTS** A higher FGCRS was associated with faster MMSE decline in young-old people
10 (60-72) but not in old-old (≥78). Larger volumes of cerebral WMHs and ventricles, and
11 smaller volumes of total grey matter and hippocampus were all associated with accelerated
12 MMSE decline ($P<0.01$); these associations were stronger among *APOE-ε4* carriers than non-
13 carriers. Simultaneously entering multiple brain lesion markers as mediators in the model
14 substantially attenuated the association between FGCRS and MMSE decline.

15 **DISCUSSION** The effect of cardiovascular risk burden on cognitive deterioration in old age
16 is largely mediated by mixed brain lesions.

17

18 **KEYWORDS** Framingham General Cardiovascular Risk Score; Magnetic Resonance
19 Imaging; Cerebral small-vessel disease; Cognitive decline; Aging; Population study.

20 **1 INTRODUCTION**

21 Cardiovascular risk burden, assessed by the Framingham general cardiovascular risk score
22 (FGCRS) [1], has been associated with cognitive decline in middle-aged adults [2,3], but
23 whether this association remains in old age, especially among very old people, requires
24 further investigation. Likewise, the underlying mechanisms linking cardiovascular risk burden
25 with cognitive decline are not fully understood.

26 Cardiovascular risk factors cause brain lesions such as white-matter hyperintensities
27 (WMHs), and global and regional brain atrophy [4,5]. The extent of WMHs and brain atrophy
28 has been associated with cognitive decline and dementia in middle-aged and older people
29 [6,7]. Therefore, it is conceivable that the link of cardiovascular risk burden with cognitive
30 decline in aging is likely to be mediated by structural brain properties. A mediating effect of
31 markers of brain lesions (e.g., WMHs and brain atrophy) on the associations between diabetes
32 and poor cognitive performance was indeed documented in cross-sectional studies [8,9]. Yet,
33 population-based longitudinal data exploring the role of structural brain characteristics in the
34 association between cardiovascular risk burden and cognitive decline are sparse.

35 In addition, WMHs may contribute to cognitive decline through cortical thinning and
36 disruption of cortical networks [10]. The effects of cardiovascular risk factors on brain
37 structure may begin with white-matter lesions of presumed vascular origin, and then proceed
38 to morphological changes of neurodegeneration [11]. Nevertheless, it remains unknown
39 whether the effects of cardiovascular risk burden on neurodegeneration, as indicated by
40 imaging markers of global and regional brain atrophy, are secondary to cerebral
41 microvascular lesions (e.g., WMHs). Furthermore, previous studies have suggested interactive
42 effects of individual cardiovascular risk factors with the *APOE-ε4* allele on brain degenerative
43 pathologies and cognitive decline [12,13], but the potential role of the $\epsilon 4$ allele in modifying

44 the associations of cardiovascular risk burden and markers of brain structure with cognitive
45 decline has not yet been explored.

46 In this population-based longitudinal study of older adults, we seek to first verify the
47 associations of FGCRS and structural brain properties (i.e., the volume of WMHs, total grey
48 matter, ventricles, and hippocampus) with cognitive decline. Then, we explore to what extent
49 the association between FGCRS and cognitive decline is mediated by markers of cerebral
50 microvascular and atrophic lesions. Finally, we investigate whether the *APOE-ε4* allele
51 modifies the associations of FGCRS, structural brain properties, and cognitive decline.

52

53 **2 METHODS**

54 **2.1 Study participants**

55 Participants were from the Swedish National study on Aging and Care in Kungsholmen
56 (SNAC-K), a multidisciplinary longitudinal study of aging and health, in an area of central
57 Stockholm, Sweden [14]. The SNAC-K sample consisted of 11 age groups ranging from 60 to
58 99+ years. The follow-up interval was 6 years for younger age groups (age 60, 66, and 72
59 years), and 3 years for the older age groups (age 78+ years). This sampling and follow-up
60 procedure was used due to more rapid health changes and higher attrition rates in the older
61 than younger cohorts. By February 2013, one follow-up assessment for younger age groups
62 and three follow-up examinations for older age groups had been completed.

63 Of all 4590 persons who were eligible to participate in SNAC-K, 3363 (73.3%) were
64 examined at baseline (March 2001-June 2004) [14]. Of these, we excluded 1174 subjects due
65 to prevalent dementia (n=311), the Mini-Mental State Examination (MMSE) score <24
66 (n=68), missing FGCRS (n=126), and having no follow-up MMSE scores (n=669, of these,
67 338 were due to death). Thus, this study included 2189 persons who were free of dementia,
68 had a baseline MMSE score ≥ 24 , and had at least one follow-up MMSE assessment.

69 During September 2001-October 2003, non-institutionalized, non-disabled, and non-
70 demented participants in SNAC-K were invited to undertake structural brain MRI scans, and
71 555 persons were scanned at baseline [15]. Of these, we excluded 76 subjects due to dementia
72 or MMSE score <24 (n=6), missing FGCRS (n=8) or lack of follow-up MMSE data (n=62).
73 We further excluded 31 subjects for whom we were not able to reliably assess brain structure
74 due to brain disorders. Thus, the analytical SNAC-K MRI sample included 448 subjects.
75 Supplemental Figure S1 shows a flowchart of the study population.

76

77 **2.2 Standard protocol approvals, registrations, and patient consents**

78 All parts of the SNAC-K project were approved by the Regional Ethical Review Board in
79 Stockholm. We obtained written informed consent from participants or from informants for
80 cognitively impaired persons.

81

82 **2.3 Data collection at baseline**

83 At baseline, data on demographics, lifestyles, medical history, and current use of medications
84 were collected through interviews and clinical examinations [14]. Information on health
85 history for all participants was also obtained from the Stockholm inpatient register that covers
86 all hospitalizations in Stockholm since 1969 [14,15]. Smoking was categorized as never or
87 former smoking vs. current smoking. Diabetes was defined as having a self-reported history
88 of diabetes, records of diabetes in the inpatient register, use of antidiabetic drugs, or glycated
89 haemoglobin $\geq 6.5\%$ [16]. The *APOE* gene was dichotomized into any $\epsilon 4$ allele vs. no $\epsilon 4$
90 allele. We classified alcohol consumption into no or occasional, light-to-moderate, or heavy
91 drinking. Physical activity was defined as participating in physical exercise several times per
92 week or every day [15].

93

94 **2.4 Assessment of cardiovascular risk burden**

95 We assessed cardiovascular risk burden with the sex-specific FGCRS that includes age,
96 systolic blood pressure, antihypertensive treatment, high-density lipoprotein cholesterol, total
97 cholesterol, smoking, and diabetes, in which a weighted sex-specific point is given to each
98 factor [1]. Total FGCRS is obtained by summing up the points from all these risk factors. A
99 higher FGCRS indicates a greater risk for future cardiovascular events. Because data on high-
100 density lipoprotein cholesterol were available only in individuals with total cholesterol ≥ 6.5
101 mmol/l in our study, this variable was not included in the algorithm.

102

103 **2.5 MRI acquisition and measurements**

104 *MRI acquisition and reading protocol*

105 Participants were scanned on a 1.5T MR scanner (Philips Intera, The Netherlands) [15]. The
106 protocol included an axial 3D T1-weighted fast field echo (FFE) [repetition time (TR) 15 ms,
107 echo time (TE) 7 ms, flip angle (FA) 15°, field of view (FOV) 240, 128 slices with slice
108 thickness 1.5 mm and in-plane resolution 0.94×0.94 mm, no gap, matrix 256×256], and an
109 axial turbo fluid-attenuated inversion recovery (FLAIR) (TR 6000 ms, TE 100 ms, inversion
110 time 1900 ms, FA 90°, ETL 21, FOV 230, 22 slices with slice thickness 5mm and in-plane
111 resolution 0.90×0.90 mm, gap 1 mm, matrix 256×256) sequences.

112 *MRI markers of structural brain characteristics*

113 Global WMH volume was measured using the Lesion Segmentation Toolbox in SPM 8 on the
114 fluid-attenuated inversion recovery images [15]. All WMH maps were visually scrutinized
115 and manually corrected for greater volumetric precision in MRICroN. The volumes of
116 ventricles and hippocampus were manually assessed on T1-weighted images following a
117 standardized protocol [15]. Briefly, hippocampal volume was manually delineated in both
118 hemispheres using the ROI tool in HERMES MultiModality. The volumes of the lateral and

119 third ventricles were estimated by the semiautomatic tool of Region Growing in HERMES
120 MultiModality [15]. The T1 brain images were segmented into grey matter, white matter, and
121 cerebrospinal fluid using SPM12b in MATLAB R2012b (MathWorks Inc., MA, USA). All
122 segments were visually inspected by a specialist in the neuroimaging analysis (G.K.). WMH
123 volume was log-transformed owing to skewed distribution. All MRI measurements were
124 adjusted by total intracranial volume [17].

125

126 **2.6 Assessment of global cognitive function and dementia**

127 Global cognitive functioning was assessed with MMSE at baseline for all participants, at 3-,
128 6-, and 9-year follow-ups for participants aged ≥ 78 years, and at 6-year follow-up for those
129 aged 60-72 years. Dementia was diagnosed by the examining physicians according to the
130 criteria of the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition [18,19].

131

132 **2.7 Statistical analysis**

133 Baseline characteristics of study participants by whether or not they underwent MRI scan
134 were compared using t-test for continuous variables with normal distribution, Wilcoxon-
135 Mann-Whitney test for continuous variables not normally distributed, or chi-square test for
136 categorical variables.

137 Linear mixed-effects models were used to analyze the association of FGCRS and MRI
138 markers to MMSE scores. Each model included a predictor (FGCRS or MRI markers) at
139 baseline, follow-up time, and an interaction term between the predictor and follow-up time.
140 The estimated effect for the predictor reflects the cross-sectional impact of this factor on
141 MMSE score at baseline, the effect of follow-up time reflects annual MMSE change, and the
142 estimated effect for the interaction term reflects the additional impact of the predictor on
143 annual change in MMSE score. Because we focused on the impact of FGCRS and brain MRI

144 markers on MMSE change, we only reported parameters for the interaction of FGCRS or MRI
145 markers with follow-up time from two linear mixed-effects models. Model 1 was adjusted for
146 demographics, and model 2 was adjusted for additional cardiovascular factors not included in
147 the FGCRS, and cerebrovascular diseases developed over the follow-up period. Multiplicative
148 interactions were tested by simultaneously including two predictive variables (e.g., FGCRS
149 and *APOE-ε4* status), follow-up time, and their cross-product term in the same model.

150 Structural equation modelling was used to quantify the mediating effect of brain structures
151 on the association between FGCRS and MMSE decline, and to identify the pathways linking
152 FGCRS to MMSE decline. Specifically, the model involved two components. First, two latent
153 factors (latent intercept and latent slope) were extracted from the measurement component
154 using MMSE measurements at baseline, 3-, 6-, and 9-year follow-ups: the latent intercept
155 represented an individual's baseline MMSE score, while the latent slope represented the
156 MMSE score changes over time. Second, the structural component reflected relationships
157 among FGCRS, MRI markers, and change of MMSE score. In the structural component, we
158 quantified the degree to which the brain MRI markers mediated the association between
159 FGCRS and MMSE change. We first estimated the association between FGCRS and MMSE
160 change over the follow-up periods by linking FGCRS to the latent slope, and further tested the
161 mediating role of the structural brain MRI markers in their associations. Stata 13.0 (Stata
162 Corp., College Station, Texas, USA) and SAS 9.3 (SAS Institute, Cary, NC, USA) for
163 Windows were used for all analyses.

164

165 **3 RESULTS**

166 **3.1 Characteristics of participants at baseline**

167 Overall, the mean age of the 2189 participants was 71.7 years (SD, 9.9), 63.5% were women,
168 and 38.0% obtained a university degree. FGCRS ranged from 6 to 31. Compared with persons

169 who did not undergo the MRI scan (n=1741), the MRI participants (n=448) were younger and
170 more likely to have higher education, high total cholesterol, physical activity, lower diastolic
171 blood pressure, a higher MMSE score, and to consume light-to-moderate alcohol, but the two
172 groups did not differ significantly in sex, FGCRS, current smoking, systolic blood pressure,
173 diabetes, body mass index, use of antihypertensive drugs, or *APOE-ε4* status (all $P>0.05$)
174 (**Table 1**).

175 *(Insert Table 1 here)*

176 **3.2 FGCRS, *APOE-ε4* allele, and MMSE change in the SNAC-K total sample**

177 In the SNAC-K total sample (n=2189), after adjusting for demographics, the MMSE score
178 declined by an average annual rate of 0.37 points (95% confidence interval [CI] -0.40, -0.34)
179 during the follow-up period; the average annual decline rate was 0.17 point (95% CI -0.20, -
180 0.15) in young-old (age 60-72 years) and 0.70 point (95% CI -0.81, -0.65) in old-old (≥ 78
181 years) groups.

182 Overall, per 1-point increment in FGCRS was significantly associated with a 0.03 point
183 faster annual decline in MMSE score (β -coefficient -0.03; 95% CI -0.04, -0.02). There was a
184 significant interaction between age strata (60-72 vs. ≥ 78 years) and FGCRS on MMSE
185 decline ($P_{\text{interaction}}<0.01$). Further analysis stratifying by age strata suggested that in young-old
186 group, the FGCRS was significantly associated with an annual faster MMSE decline (β -
187 coefficient, -0.012; 95% CI, -0.018, -0.006), whereas in old-old group, the FGCRS was
188 associated with a slower average annual decline in MMSE (β -coefficient, 0.030; 95% CI,
189 0.003, 0.057). When FGCRS was analysed as quartiles, the pattern of association between
190 FGCRS and average annual changes in MMSE score was similar to that of FGCRS being
191 analyzed as a continuous variable in the total sample, young-old group, and old-old group,
192 respectively (**Figure 1A-C**). The results were virtually unchanged when further controlling
193 for cardiovascular factors that were not included in FGCRS and cerebrovascular diseases

194 developed during the follow-up periods. Carrying an *APOE*- ϵ 4 allele was significantly
195 associated with a faster annual MMSE decline, even in the fully-adjusted model (β -coefficient
196 -0.09; 95% CI -0.16, -0.02). No statistical interaction between age strata and *APOE*- ϵ 4 on
197 MMSE decline was detected.

198 *(Insert Figure 1 here)*

199 **3.3 Brain MRI markers, *APOE*- ϵ 4 allele, and MMSE change in the MRI sample**

200 In the SNAC-K MRI sample (n=448), after adjusting for demographics, the MMSE score
201 declined by an average of 0.28 points per year during the follow-up period (95% confidence
202 interval [CI] -0.33, -0.23). Larger volumes of WMHs and ventricles, and smaller volumes of
203 total grey matter and hippocampus at baseline were associated with a greater annual MMSE
204 decline (**Table 2, Model 1**). These associations remained unchanged after further adjusting
205 for other potential confounders (**Table 2, Model 2**). There was no significant association of
206 *APOE*- ϵ 4 with any of the brain MRI markers at baseline.

207 After controlling for demographics, there were significant interactive effects of *APOE*- ϵ 4
208 with WMH volume ($P_{interaction}<0.01$) and ventricular volume ($P_{interaction}<0.01$) for annual
209 MMSE decline, but the interaction was not significant for total grey-matter ($P_{interaction}=0.08$) or
210 hippocampal volumes ($P_{interaction}=0.11$). Stratified analysis by *APOE* status showed stronger
211 associations of brain MRI markers with annual MMSE decline among the ϵ 4 allele carriers
212 than non-carriers, although the associations were statistically evident in both carriers and non-
213 carriers (**Table 2**).

214 *(Insert Table 2 here)*

215 **3.4 Mediating effects of brain MRI markers on the associations between FGCRS and** 216 **MMSE change in the MRI sample**

217 A higher baseline FGCRS was associated with a faster annual MMSE decline (β -coefficient -
218 0.019; 95% CI -0.030, -0.008). When volumes of WMHs, total grey matter, ventricles, and

219 hippocampus were entered into the model separately, the direct association between FGCRS
220 and annual MMSE decline was attenuated (**Table 3**). Stratifying the analysis by *APOE-ε4*
221 status suggested that the association between FGCRS and annual MMSE decline was stronger
222 among the $\epsilon 4$ allele carriers than non-carriers (**Table 3**).

223 *(Insert Table 3 here)*

224 When entering WMH volume into the model together with either total grey-matter volume
225 or ventricular volume, the direct association of FGCRS with an annual rate of MMSE decline
226 virtually disappeared (**Figure 2**). FGCRS showed a stronger association with WMH volume
227 (standardized β -coefficient, 0.39) than with either total grey-matter (-0.29) or ventricular
228 (0.20) volume. The extent of associations of WMH, total grey-matter, and ventricular
229 volumes with annual rate of MMSE decline was comparable. In addition, the association of
230 FGCRS with markers of brain atrophy (total grey-matter or ventricular volume) remained
231 statistically significant even after taking the mediating effect of WMH volume into account.

232 *(Insert Figure 2 here)*

233 **3.5 Additional analyses**

234 Similar results were obtained when 675 persons with a history of cardiovascular diseases (i.e.,
235 coronary heart diseases, heart failure, atrial fibrillation, and cerebral vascular diseases) were
236 excluded from the analytical samples (because FGCRS was initially developed for predicting
237 cardiovascular events among individuals who were free of cardiovascular diseases), when 37
238 people with silent cerebral infarct were excluded, when 134 persons who developed incident
239 dementia during the follow-up periods (20 patients occurred in the MRI sample) were
240 excluded, when 60 persons with a baseline MMSE score <26 were excluded (8 in the MRI
241 sample), when a robust estimation method was used in the linear mixed-effects models, or
242 when the analyses were performed in a subgroup of people with data available on high-

243 density lipoprotein cholesterol, in which this cholesterol component could be included in
244 FGCRS (data not shown).

245

246 **4 DISCUSSION**

247 The main findings from this long-term community-based longitudinal study of older Swedish
248 adults can be summarized as follows: (1) a higher FGCRS was associated with accelerated
249 decline in global cognitive function in young-old adults (age 60-72 years) independent of
250 development of clinical stroke, but not in old-old people (≥ 78 years); (2) larger volumes of
251 WMHs and ventricles, and smaller volumes of total grey-matter and hippocampus were
252 associated with a faster global cognitive decline, and these associations were stronger among
253 *APOE-ε4* carriers than for non-carriers; and (3) the association of FGCRS with global
254 cognitive decline was largely accounted for by WMH volume, together with either total grey-
255 matter volume or ventricular volume.

256 The relation of cardiovascular risk factors to cognitive impairment and dementia has been
257 well established [20]. There is increasing interest in the association between clustering of
258 cardiovascular risk factors and cognitive deterioration in aging. A systematic review showed
259 that an increasing cardiovascular risk score was associated with an increased risk of cognitive
260 decline or dementia [21]. Previous studies have shown that FGCRS is associated with
261 cognitive decline in middle-aged adults [2,3,21]. Our data extend previous observations by
262 showing that the association also existed in young-old people independent of development of
263 clinical cerebrovascular disease and silent infarcts. This indicates that clinical stroke and
264 silent infarcts on MRI cannot explain the effect of cardiovascular risk burden on cognitive
265 decline. Of note, we observed that among old-old adults (≥ 78 years), a higher FGCRS tended
266 to be associated with a slower decline in global cognitive function, suggesting that FGCRS is
267 not predictive of cognitive decline in very old individuals (e.g., age ≥ 75 years). This is likely

268 due to the facts that FGCRS was initially designed for predicting cardiovascular events in
269 people aged 30-74 years [1], and that among very old people certain components in FGCRS
270 (e.g., elevated systolic blood pressure and total cholesterol) have been indeed inversely
271 associated with the risk of cognitive decline and dementia [20,22,23].

272 The association between structural brain measures and cognitive decline has been well
273 established [6,7]. In addition, the *APOE-ε4* allele was associated with accelerated cognitive
274 decline [24]. More importantly, we revealed interactions of *APOE-ε4* with volumes of WMHs
275 and ventricles on cognitive decline such that carrying the ε4 allele magnified the effects of
276 WMHs and enlarged ventricles on cognitive decline, although such interactions were not
277 previously detected in the Rotterdam Scan Study [25]. The *APOE-ε4* allele strongly affects
278 deposition of cerebral β-amyloid protein [26,27], which may contribute to the interactive
279 effect of the ε4 allele and brain MRI markers on cognitive decline.

280 Markers of structural brain features have often been regarded as a confounder, rather than
281 a mediator, in relating cardiovascular risk factors to cognitive decline in earlier studies. For
282 example, the Framingham Heart Study showed that cardiovascular risk factors were
283 associated with faster cognitive decline, even after additionally adjusting for WMHs [5]. We
284 sought to test the mediating effects of structural brain MRI markers by using structural
285 equation models. Our findings suggest that the association between cardiovascular risk burden
286 and cognitive decline is largely mediated by a load of WMHs together with either global or
287 regional brain atrophy. Moreover, a greater cardiovascular risk burden was associated with a
288 smaller total grey-matter volume and a larger ventricular volume, independent of markers of
289 cerebral small-vessel disease (i.e., WMHs). In line with our findings, the SMART-MR study
290 showed that, among people with symptomatic atherosclerotic diseases, the combination of
291 brain atrophy and WMHs accelerated cognitive decline [28]. In addition, the Mayo Clinic
292 Study of Aging has shown additive effects of mixed brain pathologies (i.e., cerebrovascular

293 disease and Alzheimer's disease) on cognitive decline [29]. The AGES-Reykjavik Study of
294 older adults also found that the cross-sectional association between diabetes and poor
295 cognitive performance was largely mediated by MRI markers of cerebral mixed
296 microvascular and degenerative pathologies [8]. Taken together, these studies support the
297 view that cardiovascular risk factors cause cognitive decline through mixed pathologies of
298 small-vessel disease and neurodegeneration in the brain.

299 Several pathophysiological pathways may underlie the longitudinal relations of
300 cardiovascular risk burden and structural brain features to cognitive decline in aging. Firstly,
301 cardiovascular risk factors (e.g., smoking, high cholesterol, and diabetes) cause cerebral
302 arteriosclerosis and atherosclerosis, which may predispose for microinfarcts and cerebral
303 hypoperfusion, and subsequently lead to vascular and degenerative brain lesions that, in turn,
304 affect cognitive functioning [30,31]. Secondly, certain cardiovascular risk factors (e.g.,
305 hypertension and diabetes) could result in structural brain changes and cognitive decline by
306 increasing blood-brain barrier permeability and β -amyloid deposition [12, 18]. Amyloid
307 deposition is related to synaptic and neuronal loss, which may affect grey- and white-matter
308 tissue [32]. Thirdly, cerebrovascular and neurodegenerative lesions represent independent
309 pathological processes in aging that may converge to cause cognitive decline [33]. Finally,
310 white-matter lesions may impair anatomic connections, thus leading to structural brain
311 degeneration [10]. Conversely, Wallerian degeneration, which is secondary to axonal
312 impairment and demyelination induced by neurodegeneration, may lead to white-matter
313 lesions [34].

314 Strengths of this study include a large sample of community-dwelling older adults with
315 comprehensive data on cardiovascular risk factors, and long-term longitudinal assessment of
316 cognitive function. Furthermore, we were able to explore mechanisms linking cardiovascular
317 risk burden to cognitive decline in the MRI sample, where markers of brain structure and

318 pathologies are integrated with epidemiological, clinical, and cognitive data. A possible
319 limitation of the study is that selective dropout might have occurred during the follow-up
320 periods, which may lead to an underestimation of the strength of the true associations [35].
321 Lack of high-density lipoprotein data could be a potential limitation in defining FGCRS, but
322 the additional analyses suggested that this appeared to have no significant impact on the main
323 results. MMSE may be not as sensitive as measures of domain-specific cognitive function
324 (e.g., memory and executive function), but it has been widely used as a brief screening test for
325 cognitive impairment and dementia. Finally, although multiple markers of brain lesions were
326 explored in this study, additional imaging markers such as β -amyloid deposition, microbleeds,
327 and microinfarcts, which are known to contribute to cognitive decline [8,36,37] but are not
328 available in our study, might play a part in the association between FGCRS and cognitive
329 decline. This deserves further investigation.

330 This population-based longitudinal study indicates that increased cardiovascular risk
331 burden contributes to mixed brain pathologies, which in turn may speed up cognitive decline
332 in aging; carrying an *APOE*- ϵ 4 allele may accelerate the deleterious effect of cardiovascular
333 risk burden and mixed brain pathologies on cognitive function. Our findings highlight the
334 importance of assessing structural brain abnormalities and cognitive function among young-
335 old people who possess multiple cardiovascular risk factors and carry the *APOE*- ϵ 4 allele.
336 Moreover, this study supports the notion that intervention strategies to reduce cardiovascular
337 risk burden may delay the processes of pathological brain aging and cognitive deterioration.
338

339 **5 ACKNOWLEDGEMENTS**

340 We are grateful to the SNAC-K participants and to our colleagues in the SNAC-K group for
341 their collaboration in data collection and management. SNAC-K is financially supported by
342 the Swedish Ministry of Health and Social Affairs, the participating County Councils and
343 Municipalities, and the Swedish Research Council. This work was further supported in part by
344 grants from the Swedish Research Council (VR), the Swedish Research Council for Health,
345 Working Life and Welfare (FORTE), the Karolinska Institutet (KID-funding), and the
346 European Union's Horizon 2020 Framework Programme for Research and Innovation (grant
347 agreement no. 667375). Lars Bäckman was supported by an Alexander von Humboldt
348 Research Award and by a donation from the af Jochnick Foundation.

349

350 **6 AUTHORS' CONTRIBUTIONS**

351 Study concept and design: R. Wang, L. Fratiglioni, and C. Qiu. Funding: L. Fratiglioni, L.
352 Bäckman, and C. Qiu. Data acquisition: L. Fratiglioni, G. Kalpouzos, M. Lövdén, E.J.
353 Laukka, L. Bronge, and L-O Wahlund. Data analysis: R. Wang. Interpretation of data: all the
354 authors. Drafting of the manuscript: R. Wang and C. Qiu. Critical revision of the manuscript:
355 all the authors. R. Wang had full access to all of the data in the study and takes responsibility
356 for the integrity of the data and the accuracy of the data analysis.

357

358

359 **REFERENCES**

- 360 [1] D'Agostino RB Sr, Vasan RS, Pencina MJ, Wolf PA, Cobain M, Massaro JM, et al.
361 General cardiovascular risk profile for use in primary care: the Framingham Heart Study.
362 *Circulation* 2008;117:743-53.
- 363 [2] Kaffashian S, Dugravot A, Nabi H, Batty GD, Brunner E, Kivimäki M, et al. Predictive
364 utility of the Framingham general cardiovascular disease risk profile for cognitive function:
365 evidence from the Whitehall II study. *Eur Heart J* 2011;32:2326-32.
- 366 [3] Kaffashian S, Dugravot A, Elbaz A, Shipley MJ, Sabia S, Kivimäki M, et al. Predicting
367 cognitive decline: a dementia risk score vs. the Framingham vascular risk scores. *Neurology*
368 2013;80:1300-6.
- 369 [4] Jeerakathil T, Wolf PA, Beiser A, Massaro J, Seshadri S, D'Agostino RB, et al. Stroke risk
370 profile predicts white matter hyperintensity volume: the Framingham Study. *Stroke*
371 2004;35:1857-61.
- 372 [5] Debette S, Seshadri S, Beiser A, Au R, Himali JJ, Palumbo C, et al. Midlife vascular risk
373 factor exposure accelerates structural brain aging and cognitive decline. *Neurology*
374 2011;77:461-8.
- 375 [6] Lo RY, Jagust WJ; Alzheimer's Disease Neuroimaging Initiative. Vascular burden and
376 Alzheimer disease pathologic progression. *Neurology* 2012;79:1349-55.
- 377 [7] Kantarci K, Weigand SD, Przybelski SA, Preboske GM, Pankratz VS, Vemuri P, et al.
378 MRI and MRS predictors of mild cognitive impairment in a population-based sample.
379 *Neurology* 2013;81:126-33.
- 380 [8] Qiu C, Sigurdsson S, Zhang Q, Jonsdottir MK, Kjartansson O, Eiriksdottir G, et al.
381 Diabetes, markers of brain pathology and cognitive function: the Age, Gene/Environment
382 Susceptibility-Reykjavik Study. *Ann Neurol* 2014;75:138-46.

383 [9] Espeland MA, Bryan RN, Goveas JS, Robinson JG, Siddiqui MS, Liu S, et al. Influence of
384 type 2 diabetes on brain volumes and changes in brain volumes: results from the Women's
385 Health Initiative Magnetic Resonance Imaging studies. *Diabetes Care* 2013;36:90-7.

386 [10] Tuladhar AM, Reid AT, Shumskaya E, de Laat KF, van Norden AG, van Dijk EJ, et al.
387 Relationship between white matter hyperintensities, cortical thickness, and cognition. *Stroke*
388 2015;46:425-32.

389 [11] Wardlaw JM, Smith EE, Biessels GJ, Cordonnier C, Fazekas F, Frayne R, et al.
390 Neuroimaging standards for research into small vessel disease and its contribution to ageing
391 and neurodegeneration. *Lancet Neurol* 2013;12:822-38.

392 [12] Rodrigue KM, Rieck JR, Kennedy KM, Devous MD Sr, Diaz-Arrastia R, Park DC. Risk
393 factors for β -amyloid deposition in healthy aging: vascular and genetic effects. *JAMA Neurol*
394 2013;70:600-6.

395 [13] Irie F, Fitzpatrick AL, Lopez OL, Kuller LH, Peila R, Newman AB, et al. Enhanced risk
396 for Alzheimer disease in persons with type 2 diabetes and APOE ϵ 4: the Cardiovascular
397 Health Study Cognition Study. *Arch Neurol* 2008;65:89-93.

398 [14] Wang R, Fratiglioni L, Liang Y, Welmer AK, Xu W, Mangialasche F, et al. Prevalence,
399 pharmacological treatment, and control of cardiometabolic risk factors among older people in
400 central Stockholm: a population-based study. *PLoS One* 2015;10:e0119582.

401 [15] Wang R, Fratiglioni L, Laveskog A, Kalpouzos G, Ehrenkrona CH, Zhang Y, et al. Do
402 cardiovascular risk factors explain the link between white matter hyperintensities and brain
403 volumes in old age? A population-based study. *Eur J Neurol* 2014;21:1076-82.

404 [16] Authors/Task Force Members. ESC Guidelines on diabetes, pre-diabetes, and
405 cardiovascular diseases developed in collaboration with the EASD: the Task Force on
406 diabetes, pre-diabetes, and cardiovascular diseases of the European Society of Cardiology

407 (ESC) and developed in collaboration with the European Association for the Study of
408 Diabetes (EASD). *Eur Heart J* 2013;34:3035-87.

409 [17] Raz N, Lindenberger U, Rodrigue KM, Kennedy KM, Head D, Williamson A, et al.
410 Regional brain changes in aging healthy adults: general trends, individual differences and
411 modifiers. *Cereb Cortex* 2005;15:1676-89.

412 [18] Rydwick E, Welmer AK, Kåreholt I, Angleman S, Fratiglioni L, Wang HX. Adherence to
413 physical exercise recommendations in people over 65--the SNAC-Kungsholmen study. *Eur J*
414 *Public Health* 2013;23:799-804.

415 [19] American Psychiatric Association. *Diagnostic and Statistical Manual of Mental*
416 *Disorders, Fourth Edition*. American Psychiatric Association, Washington, D.C., 1994.

417 [20] Qiu C, Fratiglioni L. A major role for cardiovascular burden in age-related cognitive
418 decline. *Nat Rev Cardiol* 2015;12:267-77.

419 [21] Harrison SL, Ding J, Tang EY, Siervo M, Robinson L, Jagger C, et al. Cardiovascular
420 disease risk models and longitudinal changes in cognition: a systematic review. *PLoS One*
421 2014;9:e114431.

422 [22] Qiu C, Winblad B, Fratiglioni L. The age-dependent relation of blood pressure to
423 cognitive function and dementia. *Lancet Neurol* 2005;4:487-99.

424 [23] Qiu C. Preventing Alzheimer's disease by targeting vascular risk factors: hope and gap. *J*
425 *Alzheimers Dis* 2012;32:721-31.

426 [24] Small BJ, Rosnick CB, Fratiglioni L, Bäckman L. Apolipoprotein E and cognitive
427 performance: a meta-analysis. *Psychol Aging* 2004;19:592-600.

428 [25] Prins ND, van Dijk EJ, den Heijer T, Vermeer SE, Jolles J, Koudstaal PJ, et al. Cerebral
429 small-vessel disease and decline in information processing speed, executive function and
430 memory. *Brain* 2005;128:2034-41.

431 [26] Morris JC, Roe CM, Xiong C, Fagan AM, Goate AM, Holtzman DM, et al. APOE
432 predicts amyloid-beta but not tau Alzheimer pathology in cognitively normal aging. *Ann*
433 *Neurol* 2010;67:122-31.

434 [27] Lim YY, Ellis KA, Ames D, Darby D, Harrington K, Martins RN, et al. A β amyloid,
435 cognition, and APOE genotype in healthy older adults. *Alzheimers Dement* 2013;9:538-45.

436 [28] Kooistra M, Geerlings MI, van der Graaf Y, Mali WP, Vincken KL, Kappelle LJ, et al.
437 Vascular brain lesions, brain atrophy, and cognitive decline. The Second Manifestations of
438 ARTERial disease--Magnetic Resonance (SMART-MR) study. *Neurobiol Aging* 2014;35:35-
439 41.

440 [29] Vemuri P, Lesnick TG, Przybelski SA, Knopman DS, Preboske GM, Kantarci K, et al.
441 Vascular and amyloid pathologies are independent predictors of cognitive decline in normal
442 elderly. *Brain* 2015;138:761-71.

443 [30] Kalaria RN, Akinyemi R, Ihara M. Does vascular pathology contribute to Alzheimer
444 changes? *J Neurol Sci* 2012;322:141-7.

445 [31] Wang R, Fratiglioni L, Laukka EJ, Lövdén M, Kalpouzos G, Keller L, et al. Effects of
446 vascular risk factors and APOE ϵ 4 on white matter integrity and cognitive decline. *Neurology*
447 2015;84:1128-35.

448 [32] Villeneuve S, Reed BR, Madison CM, Wirth M, Marchant NL, Kriger S, et al. Vascular
449 risk and A β interact to reduce cortical thickness in AD vulnerable brain regions. *Neurology*
450 2014;83:40-7.

451 [33] Casserly I, Topol E. Convergence of atherosclerosis and Alzheimer's disease:
452 inflammation, cholesterol, and misfolded proteins. *Lancet* 2004;363:1139-46.

453 [34] Erten-Lyons D, Woltjer R, Kaye J, Mattek N, Dodge HH, Green S, et al.
454 Neuropathologic basis of white matter hyperintensity accumulation with advanced age.
455 *Neurology* 2013;81:977-83.

456 [35] Verhaaren BF, Vernooij MW, de Boer R, Hofman A, Niessen WJ, van der Lugt A, et al.
457 High blood pressure and cerebral white matter lesion progression in the general population.
458 Hypertension 2013;61:1354-9.

459 [36] Smith EE, Schneider JA, Wardlaw JM, Greenberg SM. Cerebral microinfarcts: the
460 invisible lesions. Lancet Neurol 2012;11:272-82.

461 [37] Boyle PA, Yu L, Nag S, Leurgans S, Wilson RS, Bennett DA, et al. Cerebral amyloid
462 angiopathy and cognitive outcomes in community-based older persons. Neurology
463 2015;85:1930-6.

464

465 **FIGURE LEGENDS**

466 Fig. 1. Average annual changes in MMSE score over 9 years according to quartiles of FGCRS
467 in the SNAC-K total sample (**A**) and by age strata (**B** and **C**). Model was adjusted for age,
468 sex, and education.

469

470

471 Abbreviations: FGCRS, Framingham general cardiovascular risk score; MMSE: Mini-Mental
472 State Examination.

473

474

475 Fig. 2. Mediating effects of brain MRI markers in the associations of FGCRS with annual
476 MMSE change. Data are standardized coefficients (95% confidence intervals) derived from
477 structural equation models. We present the structural components in the models. Figure 2A
478 shows the total mediating effect of both WMH and total grey matter in the association
479 between FGCRS and MMSE decline, as well as the direct effect of FGCRS on total grey
480 matter independent of WMHs; Figure 2B shows the total mediating effect of both WMHs and
481 ventricles in the association between FGCRS and MMSE decline, as well as the direct effect
482 of FGCRS on ventricular volume independent of WMHs. * $P < 0.01$.

483

484

485 Abbreviations: FGCRS, Framingham general cardiovascular risk score; MMSE, Mini-Mental
486 State Examination; WMHs, white-matter hyperintensities.

487

488

489 Table 1

490 Characteristics of the study participants at baseline

Characteristics	Participants (n=2189)	MRI scan		P-value
		No (n=1741)	Yes (n=448)	
Age (years), mean (SD)	71.7 (9.9)	72.1 (10.1)	70.3 (9.2)	<0.01
Sex (female), n (%)	1391 (63.5)	1120 (64.3)	271 (60.5)	0.13
Education, n (%) [*]				
Elementary or middle school	283 (12.9)	232 (13.3)	51 (11.4)	
High school	1074 (49.1)	869 (50.0)	205 (45.8)	
University	830 (38.0)	638 (36.7)	192 (42.9)	0.05
FGCRS, mean (SD)	17.9 (3.6)	18.0 (3.6)	17.7 (3.8)	0.13
Current smoking, n (%)	275 (12.3)	220 (12.6)	53 (11.6)	0.56
Systolic pressure (mmHg), mean (SD)	144.1 (19.5)	144.5 (19.6)	142.9 (19.4)	0.06
Diastolic pressure (mmHg), mean (SD)	82.1 (10.4)	81.8 (10.3)	83.1 (10.8)	0.02
Total cholesterol (mmol/l), mean (SD)	6.1 (1.1)	6.0 (1.1)	6.2 (1.1)	<0.01
Diabetes, n (%)	178 (8.1)	146 (8.4)	32 (7.1)	0.39
Body mass index (kg/m ²), mean (SD) [*]	25.8 (3.9)	25.7 (3.9)	26.1 (4.1)	0.08
Alcohol consumption, n (%) [*]				
No or occasional	643 (29.5)	533 (30.8)	110 (24.6)	
Light-to-moderate	1155 (53.0)	895 (51.5)	260 (58.0)	
Heavy drinking	383 (17.6)	305 (17.7)	78 (17.4)	0.03
Physical inactivity, n (%)	522 (23.9)	437 (25.1)	85 (19.0)	<0.01
<i>APOE</i> -ε4 allele, n (%) [*]	610 (27.9)	491 (28.2)	119 (26.6)	0.08
Use of antihypertensive drugs, n (%)	815 (37.2)	654 (37.6)	161 (35.9)	0.53
MMSE, median (IQR) [†]	29 (28-30)	29 (28-30)	29 (29-30)	<0.01

491 Abbreviations: SD, standard deviation; MRI, magnetic resonance imaging; MMSE, Mini-
 492 Mental State Examination; FGCRS, Framingham general cardiovascular risk score; IQR,
 493 Interquartile range; WMHs, white-matter hyperintensities.

494 ^{*}Number of subjects with missing value in SNAC-K was 2 for education, 45 for body mass
 495 index (1 in MRI group), 8 for alcohol consumption, 125 for *APOE*-ε4 status (19 in MRI
 496 sample). All subjects with missing information on *APOE* were assigned a dummy variable in
 497 further analyses that involve the SNAC-K sample.

498 [†]MMSE score was compared using Wilcoxon-Mann-Whitney test.

499 Table 2

500 Estimates of effects of brain MRI markers on annual change in the Mini-Mental State

501 Examination score in the total SNAC-K MRI sample and by the *APOE*- ϵ 4 allele status

Structural MRI markers	β -coefficient (95% confidence interval)	
	Model 1 [†]	Model 2 [†]
Total sample (n=448) [*]		
WMH volume	-0.112 (-0.149, -0.074) [‡]	-0.112 (-0.149, -0.074) [‡]
Total grey-matter volume	0.003 (0.002, 0.003) [‡]	0.003 (0.002, 0.003) [‡]
Ventricular volume	-0.009 (-0.012, -0.006) [‡]	-0.009 (-0.012, -0.006) [‡]
Hippocampal volume	0.174 (0.107, 0.241) [‡]	0.174 (0.107, 0.240) [‡]
<i>APOE</i> - ϵ 4 non-carriers (n=325)		
WMH volume	-0.077 (-0.119, -0.035) [‡]	-0.077 (-0.119, -0.035) [‡]
Total grey-matter volume	0.002 (0.001, 0.003) [‡]	0.002 (0.001, 0.003) [‡]
Ventricular volume	-0.006 (-0.009, -0.003) [‡]	-0.006 (-0.009, -0.003) [‡]
Hippocampal volume	0.142 (0.064, 0.219) [‡]	0.141 (0.064, 0.218) [‡]
<i>APOE</i> - ϵ 4 carriers (n=119)		
WMH volume	-0.201 (-0.281, -0.122) [‡]	-0.199 (-0.276, -0.122) [‡]
Total grey-matter volume	0.004 (0.002, 0.006) [‡]	0.004 (0.002, 0.006) [‡]
Ventricular volume	-0.017 (-0.024, -0.011) [‡]	-0.017 (-0.024, -0.011) [‡]
Hippocampal volume	0.245 (0.113, 0.377) [‡]	0.245 (0.117, 0.373) [‡]

502 Abbreviations: MRI, magnetic resonance imaging; WMH, white-matter hyperintensity.

503 ^{*}The total MRI sample included 4 subjects with missing value for the *APOE*- ϵ 4 allele status.

504 [†] β -coefficient (95% confidence interval) was derived from linear mixed-effects models.

505 Model 1 was adjusted for age, sex, and education; Model 2 was additionally adjusted for body
506 mass index, diastolic blood pressure, alcohol consumption, physical inactivity, and

507 cerebrovascular disease. [‡] $P < 0.01$.

Table 3

Mediating effects of individual MRI markers of various brain lesions on the associations between FGCRS and annual MMSE change in the SNAC-K MRI total sample (n=448) and by the *APOE*- ϵ 4 allele status

Mediators	Average annual change in MMSE score, β -coefficient (95% confidence interval)*		
	Total MRI sample	<i>APOE</i> - ϵ 4 non-carriers	<i>APOE</i> - ϵ 4 carriers
Total effect of FGCRS	-0.019 (-0.030, -0.008) [†]	-0.013 (-0.024, -0.002) [‡]	-0.037 (-0.063, -0.010) [†]
Mediator, <i>WMH volume</i>			
Direct effect of FGCRS	-0.008 (-0.019, 0.004)	-0.006 (-0.018, 0.005)	-0.008 (-0.038, 0.022)
Mediating effect of WMH volume	-0.012 (-0.017, -0.006) [†]	-0.007 (-0.012, -0.003) [†]	-0.029 (-0.048, -0.011) [†]
Percent mediation	60.0%	53.8%	78.4%
Mediator, <i>total grey-matter volume</i>			
Direct effect of FGCRS	-0.010 (-0.021, 0.001)	-0.006 (-0.018, 0.005)	-0.021 (-0.049, 0.007)
Mediating effect of volume	-0.009 (-0.014, -0.004) [†]	-0.007 (-0.012, -0.002) [†]	-0.015 (-0.028, -0.003) [‡]
Percent mediation	47.4%	53.8%	41.7%
Mediator, <i>ventricular volume</i>			
Direct effect of FGCRS	-0.010 (-0.021, 0.001)	-0.007 (-0.019, 0.004)	-0.015 (-0.042, 0.012)
Mediating effect of ventricular volume	-0.009 (-0.014, -0.005) [†]	-0.006 (-0.010, -0.002) [†]	-0.022 (-0.036, -0.007) [†]
Percent mediation	47.4%	46.2%	59.5%
Mediator, <i>Hippocampal volume</i>			
Direct effect of FGCRS	-0.012 (-0.024, -0.001) [‡]	-0.008 (-0.020, 0.004)	-0.028 (-0.055, -0.001) [†]
Mediating effect of hippocampal volume	-0.007 (-0.011, -0.003) [†]	-0.005 (-0.010, -0.001) [‡]	-0.009 (-0.018, -0.001)
Percent mediation	36.8%	38.5%	24.3%

Abbreviations: FGCRS, Framingham general cardiovascular risk score; MMSE, Mini-Mental State Examination; WMH, White-matter hyperintensity.

* β -coefficients (95% confidence intervals) were derived from the structural equation model.

[†] $P < 0.01$; [‡] $0.01 < P < 0.05$.

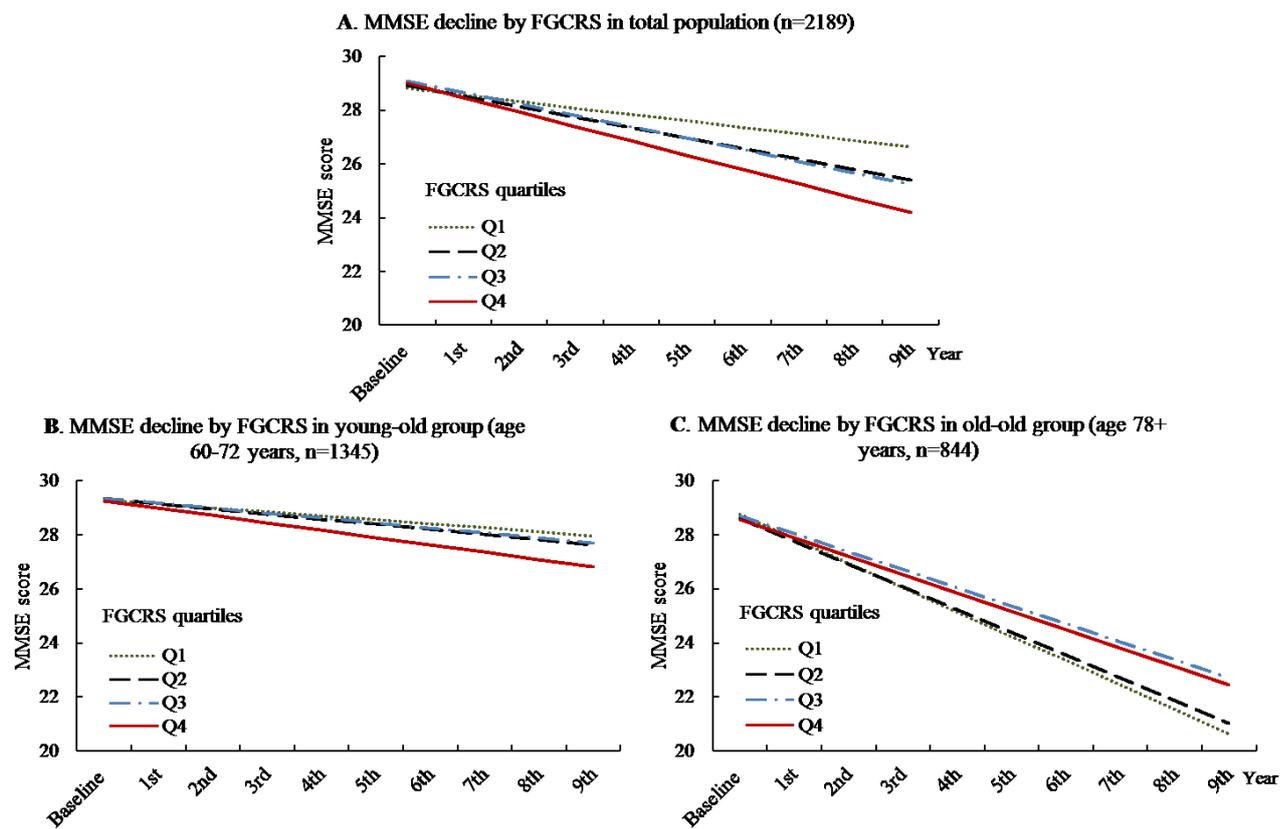
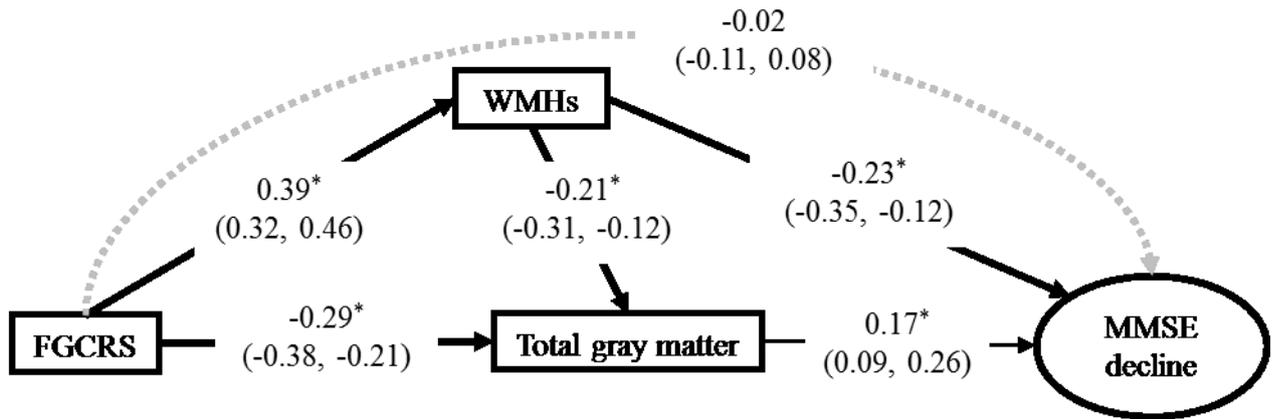


Figure 1.

A. Mediating effect of WMH and total gray matter



B. Mediating effect of WMH and ventricles

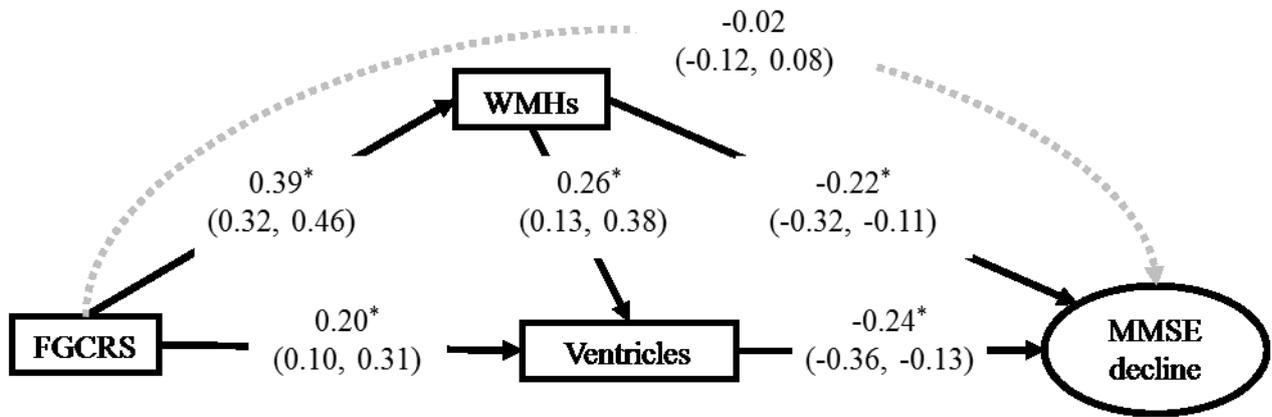


Figure 2.

SUPPLEMENTARY MATERIAL

S1. Flowchart of study participants in the SNAC-K and SNAC-K MRI studies, 2001-2004 to 2010-2013

*Note: According to the SNAC-K follow-up procedure, 1011 of the 1345 subjects aged 60 and 66 years at baseline in the SNAC-K, including 237 subjects in the SNAC-K MRI study, would not receive the second follow-up assessment until 2016.